SENIOR MANAGER INTERNAL AUDIT



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Introduction

Senior Manager Internal Audit Training Course prepares audit seniors and managers to lead engagements, develop auditors, and represent the function with authority. Participants work through the audit mandate and the IIA Global Internal Audit Standards, risk-based planning and engagement management, supervising teams and reviewing working papers, technical audit competence across assurance areas, communicating with the audit committee and executive management, and quality assurance and function development as one connected leadership discipline. The programme links the assurance an organisation needs with the leadership that delivers it. As a result, learners gain a practical method for senior audit management. Furthermore, the course strengthens leadership judgement, review discipline, and stakeholder communication.

Senior Manager Internal Audit Course Objectives

- Explain the audit mandate, governance, and independence requirements.
- Apply the IIA Global Internal Audit Standards and Code of Ethics.
- Manage a portfolio of engagements from plan to report.
- Apply risk-based planning and engagement-level risk assessment.
- Supervise teams, assign work, and review working papers.
- Apply technical audit competence across financial, operational, and compliance engagements.
- Assess control design and operating effectiveness.
- Apply judgement on findings, ratings, and engagement opinions.
- Communicate assurance clearly to the audit committee and executives.
- Apply quality assurance (QAIP), coaching, and team development.

Course Methodology

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- Interactive sessions built on senior audit management cases.
- Workshops on engagement management, review practice, and opinion forming.
- Group exercises on working paper review and rating decisions.
- Hands-on practice with engagement plans, review checklists, and committee papers.
- Simulation of an audit committee presentation and a difficult review conversation.
- Facilitator coaching and peer review on personal leadership development plans.

Who Should Take This Course

- Internal audit senior managers and managers.
- Audit supervisors and engagement leaders.
- Senior auditors preparing for management responsibility.
- Heads of internal audit and deputies.
- Risk, compliance, and governance managers interacting with audit.
- Consultants advising on internal audit practice and quality.

Senior Manager Internal Audit Course Outlines

Day 1: Mandate, Standards, and Professional Judgement

- The audit mandate, charter, and independence requirements.
- IIA Global Internal Audit Standards and Code of Ethics.
- Objectivity, conflicts of interest, and impairment.
- Professional due care and professional scepticism.
- Judgement frameworks for difficult audit decisions.
- Ethical dilemmas and escalation practice.

- Case discussion: an engagement compromised by an independence issue.

Day 2: Engagement Management and Risk-Based Delivery

- Managing a portfolio of engagements and delivery timelines.
- Risk-based planning and engagement-level risk assessment.
- Defining objectives, scope, and criteria.
- Resourcing engagements and selecting team members.
- Programme design, testing strategy, and milestones.
- Budget control, time recording, and progress reporting.
- Workshop: managing a live engagement from plan to report.

Day 3: Supervision, Review, and Team Development

- Assigning work and setting clear expectations.
- Supervising fieldwork and coaching on the job.
- Reviewing working papers and evidence quality.
- Review comments, rework, and sign-off discipline.
- Developing auditors and building competence.
- Performance feedback and difficult conversations.
- Exercise: conducting a working paper review and feedback session.

Day 4: Findings, Opinions, and Stakeholder Communication

- Evaluating evidence and forming conclusions.

- Judging findings, root cause, and rating scales.
- Forming and defending an engagement opinion.
- Writing clear, concise reports for senior readers.
- Presenting to the audit committee and executive management.
- Handling challenge, disagreement, and management responses.
- Simulation: presenting an engagement opinion under challenge.

Day 5: Quality Assurance and Function Development

- Quality assurance and improvement programme (QAIP) design.
- Engagement supervision and quality review requirements.
- Internal assessments, external assessments, and self-assessment.
- Remediating quality findings and improving practice.
- Performance metrics and reporting the function's value.
- Technology, data analytics, and audit tooling.
- Capstone: presenting a senior audit management development plan.

Conclusion

By successfully completing Senior Manager Internal Audit, participants will gain a practical command of the audit mandate and IIA Standards, engagement and risk-based delivery management, supervision and working paper review, findings and opinion judgement, audit committee communication, and quality assurance and function development. They will acquire skills in engagement leadership, review discipline, opinion forming, and stakeholder communication that raise the quality of audit delivery. Gentex Training Center designs the programme to help senior auditors lead with confidence.

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FAQs for the Senior Manager Internal Audit course

Q1 What is the "Senior Manager Internal Audit" course about?

It prepares audit seniors and managers to lead engagements, develop auditors, and represent the function with authority. The course spans the audit mandate and the IIA Standards, engagement management and risk-based delivery, supervision and review, findings, opinions and stakeholder communication, and quality assurance and function development.

Q2 What are the key benefits of the "Senior Manager Internal Audit" course?

You gain a practical method for senior audit management that links the assurance an organisation needs with the leadership that delivers it. The course improves engagement management and risk-based planning, strengthens supervision and working paper review, sharpens judgement on findings and opinions, and gives you audit committee communication and quality assurance practice that raises delivery credibility.

Q3 What skills will I gain from the "Senior Manager Internal Audit" course?

You will develop four core skills: audit mandate, independence and IIA Standards application, engagement portfolio management with risk-based delivery, supervision and working paper review with team development, and opinion judgement with audit committee communication and quality assurance.

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Q4 What tools, methods, or standards are covered in the "Senior Manager Internal Audit" course?

The course covers the audit mandate, charter and independence requirements, the IIA Global Internal Audit Standards and Code of Ethics, objectivity, conflicts of interest and impairment, professional due care and professional scepticism, judgement frameworks for difficult audit decisions, ethical dilemmas and escalation practice, managing a portfolio of engagements and delivery timelines, risk-based planning and engagement-level risk assessment, defining objectives, scope and criteria, resourcing engagements and selecting team members, programme design, testing strategy and milestones, budget control, time recording and progress reporting, assigning work and setting clear expectations, supervising fieldwork and coaching on the job, reviewing working papers and evidence quality, review comments, rework and sign-off discipline, developing auditors and building competence, performance feedback and difficult conversations, evaluating evidence and forming conclusions, judging findings, root cause and rating scales, forming and defending an engagement opinion, writing clear, concise reports for senior readers, presenting to the audit committee and executive management, handling challenge, disagreement and management responses, the quality assurance and improvement programme (QAIP), engagement supervision and quality review requirements, internal assessments, external assessments and self-assessment, remediating quality findings and improving practice, performance metrics and reporting the function's value, and technology, data analytics and audit tooling.

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Q5 How is the "Senior Manager Internal Audit" course applied in real-world practice?

Participants apply it to real work such as managing a live engagement from plan to report, performing an engagement-level risk assessment, reviewing working papers and giving feedback, forming and defending an engagement opinion, presenting results to an audit committee under challenge, and running a quality review under the QAIP. The same methods support team development and audit function improvement.