

RISK MANAGEMENT, CONTROL & COMPLIANCE (CORPORATE GOVERNANCE)



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TRAINING CENTER



Introduction

Risk Management, Control & Compliance (Corporate Governance) Training Course brings the three connected disciplines of risk, control, and compliance into one coherent governance framework. Organisations often manage these areas in separate silos, which leaves gaps between them and weakens accountability. This programme integrates the three deliberately. It examines how governance bodies set expectations, how controls operate in practice, and how compliance programmes evidence that obligations are met. Furthermore, it aligns practice with COSO, ISO 31000, and recognised compliance standards. In addition, participants apply the integrated model to realistic corporate cases. As a result, they can build a governance system that withstands scrutiny and protects value.

Risk Management, Control & Compliance (Corporate Governance) Course Objectives

- Integrate risk, internal control, and compliance into a single governance framework.
- Apply the COSO internal control framework and the three-lines model.
- Apply ISO 31000 risk processes across business units and processes.
- Build compliance programmes with policies, training, and monitoring.
- Define governance roles for boards, committees, and management.
- Establish risk appetite statements and compliance tolerance levels.
- Design control activities with clear ownership and testing routines.
- Operate compliance risk assessment and regulatory mapping methods.
- Manage whistleblowing, investigations, and remediation tracking.
- Report integrated risk, control, and compliance status to the board.



Course Methodology

This course combines expert-led presentations, integrated case analysis, and applied group workshops. Participants map obligations for a simulated business, design control activities with test procedures, and prepare an integrated governance report for a board committee. In addition, a compliance failure scenario tests escalation and remediation judgement. Templates, matrices, and peer review support immediate application.

Who Should Take This Course

- Risk, compliance, and internal control managers.
- Internal auditors and assurance professionals.
- Governance, legal, and company secretariat staff.
- Department heads with control ownership duties.
- Executives reporting to boards and audit committees.

Risk Management, Control & Compliance (Corporate Governance) Course Outlines

Day 1: The Integrated Governance Framework

- Defining risk, control, and compliance as one system.
- Governance structures and accountability chains.
- COSO internal control framework components.
- ISO 31000 risk process and its governance interface.
- The three-lines model and assurance coordination.
- Common silo failures and their consequences.

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- Case study: an integrated governance breakdown.

Day 2: Risk Management Under Governance

- Risk appetite, tolerance, and capacity statements.
- Risk identification and assessment methods.
- Risk registers, ownership, and treatment planning.
- Bow-tie analysis for critical risks.
- Board risk oversight and reporting expectations.
- Risk escalation and trigger conditions.
- Workshop: building a business-unit risk register.

Day 3: Internal Control Design and Testing

- Control activities: preventive, detective, corrective.
- Segregation of duties and authorisation controls.
- Control documentation and process mapping.
- Testing approaches and sample selection.
- Deficiency classification and remediation plans.
- Control effectiveness and residual risk.
- Exercise: designing and testing a control.

Day 4: Compliance Programmes and Regulatory Obligations

- Obligation registers and regulatory mapping.
- Compliance risk assessment methodology.
- Policies, codes of conduct, and training design.





- Compliance monitoring and testing routines.
- Third-party and supply chain compliance screening.
- Whistleblowing channels and investigation handling.
- Simulation: a compliance incident response.

Day 5: Assurance, Reporting, and Continuous Improvement

- Combined assurance mapping across the three lines.
- Integrated reporting for boards and committees.
- Key risk and compliance indicators.
- Remediation tracking and issue closure.
- Governance and compliance maturity models.
- Regulatory change management.
- Action planning for integrated governance.

Conclusion

By successfully completing the Risk Management, Control & Compliance (Corporate Governance) Training Course, participants will have acquired the knowledge to integrate risk, control, and compliance into one governance framework that boards can rely on. They will understand how appetite statements, control testing, and compliance monitoring reinforce accountability and reduce exposure. As a result, they can raise governance maturity in their organisations. Gentex Training Center delivers this programme with practical tools participants apply directly in risk, compliance, and audit roles.



FAQs for the Risk Management, Control & Compliance (Corporate Governance) course

Q1 What is the "Risk Management, Control & Compliance (Corporate Governance)" course about?

It integrates the three disciplines that underpin corporate governance instead of treating them separately. The course covers governance structures, COSO control design, ISO 31000 risk processes, compliance programmes, and assurance reporting, so organisations close the gaps between risk, control, and compliance.

Q2 What are the key benefits of the "Risk Management, Control & Compliance (Corporate Governance)" course?

Participants gain a single operating framework rather than three disconnected ones. The course reduces control gaps and duplicate assurance effort, improves regulatory readiness, strengthens remediation discipline, and produces board reporting that shows the true state of risk, control, and compliance together.

Q3 What skills will I gain from the "Risk Management, Control & Compliance (Corporate Governance)" course?



Participants develop integrated governance framework design, risk assessment and treatment management, internal control design and testing, and compliance programme and assurance reporting. These four skills form the core capability set the course reinforces through its workshops.

Q4 What tools, methods, or standards are covered in the "Risk Management, Control & Compliance (Corporate Governance)" course?

The course covers the COSO internal control framework, ISO 31000 risk processes, the three-lines model, obligation registers and regulatory mapping, compliance risk assessment methodology, risk appetite and tolerance statements, risk registers, bow-tie analysis, segregation of duties and authorisation controls, control testing and deficiency classification, combined assurance mapping, key risk and compliance indicators, and governance maturity models.

Q5 How is the "Risk Management, Control & Compliance (Corporate Governance)" course applied in real-world practice?

Professionals apply it by mapping regulatory obligations into control activities with named owners, testing controls on a scheduled cycle, and running compliance monitoring across business units and third parties. They also track remediation to closure and present an integrated risk, control, and compliance report to boards and audit committees.