

PROFESSIONAL CERTIFICATE IN RISK MANAGEMENT, CONTROL & COMPLIANCE (CORPORATE GOVERNANCE)



GENTEX[®]
TRAINING CENTER



Introduction

Professional Certificate in Risk Management, Control & Compliance (Corporate Governance) Training Course builds a firm, integrated command of risk, control, and compliance inside a corporate governance frame. Participants work through governance foundations and corporate accountability, risk management frameworks, assessment, and appetite, control frameworks, design, and testing, compliance frameworks, obligations, and conduct, and assurance, reporting, culture, and governance maturity as one connected discipline. The programme joins the accountability a board owes with the risk, control, and compliance practice that makes it real. As a result, learners gain a practical command of risk management, control, and compliance. Furthermore, the course strengthens governance judgement, risk discipline, and control literacy.

Professional Certificate in Risk Management, Control & Compliance (Corporate Governance) Course Objectives

- Explain governance foundations, board duties, and accountability lines.
- Apply governance structures, board committees, and delegations.
- Apply the three lines model and risk and control ownership design.
- Apply risk management frameworks, including ISO 31000 and COSO ERM.
- Apply risk identification, assessment, and risk register practice.
- Apply risk appetite, tolerance, and limit frameworks.
- Apply the COSO Internal Control framework and control design.
- Apply control testing, evidence, and effectiveness rating.
- Apply compliance frameworks, obligations registers, and regulatory mapping.
- Apply assurance mapping, governance reporting, culture, and maturity assessment.

Course Methodology



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- Interactive sessions built on governance, risk, and control cases.
- Workshops on risk registers, control matrices, and obligations registers.
- Group exercises on appetite design, control testing, and assurance maps.
- Hands-on practice with risk registers, control matrices, and dashboards.
- Simulation of a control testing review and an audit committee session.
- Facilitator coaching and peer review on personal improvement plans.

Who Should Take This Course

- Risk managers and risk officers.
- Internal auditors and assurance specialists.
- Control and process owners in business functions.
- Compliance officers and regulatory affairs staff.
- Governance and board secretariat professionals.
- Consultants advising on risk, control, and compliance.

Professional Certificate in Risk Management, Control & Compliance (Corporate Governance) Course Outlines

Day 1: Governance Foundations and Corporate Accountability

- Governance concepts, codes, and board duties.
- Board committees, mandates, and accountability lines.
- Delegations, authority limits, and decision rights.
- The three lines model and risk and control ownership.



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- Policy architecture and framework hierarchy.
- Governance failures and warning signs.
- Case discussion: a control nobody owned and nobody tested.

Day 2: Risk Management Frameworks and Practice

- Risk management frameworks: ISO 31000 and COSO ERM.
- Risk identification techniques and risk registers.
- Likelihood-impact assessment and risk scoring.
- Risk appetite, tolerance, and limit frameworks.
- Risk aggregation and enterprise risk profiling.
- Emerging risk identification and horizon scanning.
- Workshop: building an enterprise risk register and profile.

Day 3: Control Frameworks and Control Design

- The COSO Internal Control framework components.
- Control objectives and control libraries.
- Preventive, detective, and corrective controls.
- Control design: activity, ownership, and evidence.
- Segregation of duties and authorisation controls.
- IT general controls and application controls basics.
- Exercise: designing controls for a high-risk process.

Day 4: Control Testing, Compliance, and Obligations

- Control testing, walkthroughs, and sample testing.
- Evidence standards and control effectiveness rating.



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- Control failure analysis and remediation.
- Compliance framework components and obligations registers.
- Regulatory mapping, horizon scanning, and change management.
- Codes of conduct, conflicts, and declaration frameworks.
- Simulation: testing controls and reporting exceptions.

Day 5: Assurance, Reporting, Culture, and Maturity

- Risk monitoring, key risk indicators, and dashboards.
- Assurance mapping and coordination with internal audit.
- Governance reporting to boards and committees.
- Breach, incident, and escalation handling.
- Risk culture, incentives, and behavioural risk.
- Governance maturity assessment and improvement roadmap.
- Capstone: presenting a risk, control and compliance framework.

Conclusion

By successfully completing Professional Certificate in Risk Management, Control & Compliance (Corporate Governance), participants will gain a practical command of governance foundations and corporate accountability, risk management with ISO 31000 and COSO ERM, control design and testing to the COSO Internal Control framework, compliance frameworks and obligations registers, and assurance mapping, governance reporting, culture and maturity assessment. They will acquire skills in governance and accountability design, risk management and appetite practice, control design and testing, and compliance, assurance, and governance reporting that hold up under scrutiny. Gentex Training Center designs the programme to help professionals apply risk, control, and compliance with confidence.





FAQs for the Professional Certificate in Risk Management, Control & Compliance (Corporate Governance) course

Q1 What is the "Professional Certificate in Risk Management, Control & Compliance (Corporate Governance)" course about?

It builds a firm, integrated command of risk, control, and compliance inside a corporate governance frame. The course spans governance foundations and corporate accountability, risk management frameworks and practice, control frameworks and design, control testing, compliance and obligations, and assurance, reporting, culture and maturity.

Q2 What are the key benefits of the "Professional Certificate in Risk Management, Control & Compliance (Corporate Governance)" course?

You gain a practical command of risk management, control, and compliance that joins the accountability a board owes with the risk, control, and compliance practice that makes it real. The course improves governance structures and ownership, strengthens risk assessment and appetite practice, sharpens control design and testing, and builds compliance, assurance, and reporting discipline.



Q3 What skills will I gain from the "Professional Certificate in Risk Management, Control & Compliance (Corporate Governance)" course?

You will develop four core skills: governance and accountability design, risk management and appetite practice, control design and testing, and compliance, assurance, and governance reporting.



Q4 What tools, methods, or standards are covered in the "Professional Certificate in Risk Management, Control & Compliance (Corporate Governance)" course?

The course covers governance concepts, codes and board duties, board committees, mandates and accountability lines, delegations, authority limits and decision rights, the three lines model and risk and control ownership, policy architecture and framework hierarchy, risk management frameworks including ISO 31000 and COSO ERM, risk identification techniques and risk registers, likelihood-impact assessment and risk scoring, risk appetite, tolerance and limit frameworks, risk aggregation and enterprise risk profiling, emerging risk identification and horizon scanning, the COSO Internal Control framework components, control objectives and control libraries, preventive, detective and corrective controls, control design across activity, ownership and evidence, segregation of duties and authorisation controls, IT general controls and application controls basics, control testing, walkthroughs and sample testing, evidence standards and control effectiveness rating, control failure analysis and remediation, compliance framework components and obligations registers, regulatory mapping, horizon scanning and change management, codes of conduct, conflicts and declaration frameworks, risk monitoring, key risk indicators and dashboards, assurance mapping and coordination with internal audit, governance reporting to boards and committees, breach, incident and escalation handling, risk culture, incentives and behavioural risk, and governance maturity assessment and improvement roadmapping.



Q5 How is the "Professional Certificate in Risk Management, Control & Compliance (Corporate Governance)" course applied in real-world practice?

Participants apply it to real work such as building an enterprise risk register and appetite statement, designing controls for a high-risk process, testing controls and reporting exceptions, building an obligations register and control matrix, mapping assurance across the three lines, handling a control failure and remediation, preparing a governance report for the audit committee, and presenting a risk, control and compliance framework. The same methods support governance maturity improvement.