

ENTERPRISE GOVERNANCE & COMPLIANCE



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TRAINING CENTER



Introduction

Enterprise Governance & Compliance Training Course prepares professionals to run governance and compliance together as one enterprise discipline. Participants work through enterprise governance frameworks and accountability structures, board and committee oversight, the COSO internal control framework and the three lines model, compliance management systems and ISO 37301, obligation mapping and control integration, and ethics, third-party risk, monitoring, and governance reporting as one connected discipline. The programme links the obligations and risks an enterprise carries with the integrated governance and compliance capability that discharges them. As a result, learners gain a practical command of enterprise governance and compliance. Furthermore, the course strengthens governance judgement, control discipline, and compliance literacy.

Enterprise Governance & Compliance Course Objectives

- Explain enterprise governance concepts, frameworks, and business drivers.
- Apply corporate governance structures and board oversight practice.
- Apply the COSO internal control framework and its components.
- Apply the three lines model and assurance coordination.
- Apply compliance management systems and ISO 37301 requirements.
- Apply regulatory obligation mapping and compliance registers.
- Apply control integration and control rationalisation practice.
- Apply ethics, anti-bribery, and third-party compliance controls.
- Apply compliance monitoring, testing, and incident management.
- Apply governance and compliance reporting, audit, and maturity assessment.

Course Methodology



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- Interactive sessions built on enterprise governance and compliance cases.
- Workshops on governance design, control mapping, and obligation registers.
- Group exercises on control rationalisation, ethics, and reporting packs.
- Hands-on practice with governance charters, control matrices, and dashboards.
- Simulation of a governance committee review and a compliance incident.
- Facilitator coaching and peer review on personal governance plans.

Who Should Take This Course

- Governance, compliance, and risk professionals.
- Compliance officers and ethics officers.
- Internal auditors covering governance and compliance.
- Finance, legal, and regulatory affairs managers.
- Company secretaries and governance officers.
- Consultants advising on governance and compliance frameworks.

Enterprise Governance & Compliance Course Outlines

Day 1: Enterprise Governance Foundations

- Enterprise governance concepts, scope, and business drivers.
- Corporate governance principles and accountability theory.
- Governance structures, boards, and committee architecture.
- Roles, mandates, and delegation of authority.
- Governance policy architecture and documentation.
- Common governance failures and warning signs.
- Case discussion: a governance framework documented but never operated.





Day 2: Internal Control and the Three Lines Model

- The COSO internal control framework and its components.
- Control environment, risk assessment, and control activities.
- Preventive, detective, and corrective control types.
- The three lines model and assurance coordination.
- Control ownership, control documentation, and effectiveness testing.
- Segregation of duties, authorisation, and reconciliation.
- Workshop: designing a control matrix for a critical process.

Day 3: Compliance Management Systems

- Compliance management systems and ISO 37301 requirements.
- Compliance policy, objectives, and management commitment.
- Regulatory obligation registers and compliance mapping.
- Compliance risk assessment and monitoring plans.
- Compliance roles, training, and awareness programmes.
- Compliance culture, speak-up, and whistleblowing.
- Exercise: building an obligation register with control mapping.

Day 4: Ethics, Third-Party, and Control Integration

- Ethics programme design and code of conduct.
- Anti-bribery frameworks: FCPA, UK Bribery Act, and equivalents.
- Fraud risk assessment and anti-fraud controls.
- Third-party due diligence and supply chain compliance.
- Control libraries and control rationalisation methods.

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- Eliminating duplicate and conflicting controls.
- Simulation: running a third-party diligence and compliance review.

Day 5: Monitoring, Reporting, and Assurance

- Compliance monitoring, testing, and continuous assurance.
- Incident management, investigation, and remediation.
- Governance and compliance metrics, KPIs, and dashboards.
- Reporting to boards, committees, and regulators.
- Internal audit and assurance mapping over governance.
- Governance and compliance maturity assessment.
- Capstone: presenting an enterprise governance and compliance framework.

Conclusion

By successfully completing Enterprise Governance & Compliance, participants will gain a practical command of enterprise governance frameworks and structures, internal control and the three lines model, compliance management systems and ISO 37301, obligation mapping and control integration, and ethics, third-party risk, monitoring and reporting practice. They will acquire skills in governance design, control mapping, compliance management, and governance reporting that reduce duplication and strengthen accountability. Gentex Training Center designs the programme to help professionals govern and manage compliance with confidence.

FAQs for the Enterprise Governance & Compliance course





Q1 What is the "Enterprise Governance & Compliance" course about?

It prepares professionals to run governance and compliance together as one enterprise discipline. The course spans enterprise governance foundations, internal control and the three lines model, compliance management systems, ethics, third-party and control integration, and monitoring, reporting and assurance.

Q2 What are the key benefits of the "Enterprise Governance & Compliance" course?

You gain a practical command of enterprise governance and compliance that links the obligations and risks an enterprise carries with the integrated governance and compliance capability that discharges them. The course improves governance structuring and control mapping, strengthens compliance management and obligation registers, sharpens ethics and third-party controls, and gives you monitoring and reporting practice that reduces duplication and strengthens accountability.

Q3 What skills will I gain from the "Enterprise Governance & Compliance" course?

You will develop four core skills: enterprise governance framework and structure design, COSO internal control application with three lines coordination, compliance management and obligation mapping with ISO 37301, and compliance monitoring with governance reporting and maturity assessment.



Q4 What tools, methods, or standards are covered in the "Enterprise Governance & Compliance" course?

The course covers enterprise governance concepts, scope and business drivers, corporate governance principles and accountability theory, governance structures, boards and committee architecture, roles, mandates and delegation of authority, governance policy architecture and documentation, the COSO internal control framework and its components, control environment, risk assessment and control activities, preventive, detective and corrective control types, the three lines model and assurance coordination, control ownership, control documentation and effectiveness testing, segregation of duties, authorisation and reconciliation, compliance management systems and ISO 37301 requirements, compliance policy, objectives and management commitment, regulatory obligation registers and compliance mapping, compliance risk assessment and monitoring plans, compliance roles, training and awareness programmes, compliance culture, speak-up and whistleblowing, ethics programme design and code of conduct, anti-bribery frameworks of FCPA, UK Bribery Act and equivalents, fraud risk assessment and anti-fraud controls, third-party due diligence and supply chain compliance, control libraries and control rationalisation methods, eliminating duplicate and conflicting controls, compliance monitoring, testing and continuous assurance, incident management, investigation and remediation, governance and compliance metrics, KPIs and dashboards, reporting to boards, committees and regulators, internal audit and assurance mapping over governance, and governance and compliance maturity assessment.



Q5 How is the "Enterprise Governance & Compliance" course applied in real-world practice?

Participants apply it to real work such as designing a governance structure and delegation framework, building a control matrix for a critical process, building an obligation register with control mapping, rationalising controls across two frameworks, running a third-party diligence and compliance review, handling a compliance incident and remediation, preparing a governance and compliance dashboard, and presenting a governance framework to the board. The same methods support assurance mapping and compliance maturity improvement.