

**CORPORATE GOVERNANCE,
REGULATIONS &
COMPLIANCE
ENFORCEMENT IN
DOWNSTREAM &
MIDSTREAM INDUSTRIES**



GENTEX[®]
TRAINING CENTER



Introduction

Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries Training Course prepares professionals to govern and enforce compliance across refining, petrochemical, storage, transport, and distribution operations. Participants work through the regulatory architecture for downstream and midstream activities, governance structures and accountability, product specifications and quality regulation, HSE, environmental, and process safety enforcement, competition, pricing, and market conduct rules, and compliance monitoring, investigation, sanctioning, and reporting as one connected discipline. The programme links the licence an operator holds with the governance, regulatory, and enforcement discipline that sustains it. As a result, learners gain a practical command of downstream and midstream governance and compliance enforcement. Furthermore, the course strengthens governance judgement, regulatory literacy, and enforcement discipline.

Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries Course Objectives

- Explain the downstream and midstream regulatory architecture.
- Apply governance structures and accountability for regulated operators.
- Apply product specification, quality, and blending regulations.
- Apply HSE, environmental, and process safety enforcement requirements.
- Apply competition, pricing, and market conduct rules.
- Apply pipeline, storage, and transport regulatory obligations.
- Apply retail, distribution, and fuel quality compliance duties.
- Apply compliance monitoring, inspection, and testing practice.
- Apply investigation, enforcement action, and sanctioning procedures.

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- Apply ethics, conflicts, and compliance reporting to oversight bodies.

Course Methodology

- Interactive sessions built on downstream and midstream compliance cases.
- Workshops on regulatory mapping, licence obligations, and control design.
- Group exercises on inspection, investigation, and enforcement scenarios.
- Hands-on practice with licence registers, compliance matrices, and sanction records.
- Simulation of an inspection and an enforcement action review.
- Facilitator coaching and peer review on personal compliance plans.

Who Should Take This Course

- Governance, compliance, and regulatory affairs officers in energy.
- Downstream and midstream operations and terminal managers.
- HSE, quality, and process safety managers.
- Regulatory inspectors, investigators, and enforcement officers.
- Internal auditors covering compliance and enforcement.
- Consultants advising on downstream and midstream compliance.

Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries Course Outlines

Day 1: Regulatory Architecture for Downstream and Midstream



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- Sector structure: refining, petrochemicals, storage, transport, retail.
- Regulatory bodies, mandates, and jurisdictional boundaries.
- Licensing, permits, and operating authorisations.
- Product specifications, standards, and quality requirements.
- The regulatory framework for pipelines and terminals.
- Common regulatory breaches and their consequences.
- Case discussion: a terminal compliant on paper and non-compliant in practice.

Day 2: Governance Structures and Accountability

- Governance structures for regulated energy operators.
- Board and management accountability for compliance.
- The three lines model in regulated downstream operations.
- Compliance policy, delegation, and decision rights.
- Governance of joint ventures and third-party operators.
- Records, documentation, and evidence retention.
- Workshop: mapping governance and compliance accountabilities.

Day 3: HSE, Environmental, and Process Safety Enforcement

- HSE and process safety regulatory obligations.
- Asset integrity, major accident hazards, and barrier management.
- Emissions, effluent, and environmental compliance duties.
- Waste, spill, and remediation obligations.
- Incident reporting, investigation, and regulator notification.
- Enforcement tools: notices, penalties, and licence conditions.





- Exercise: reviewing a process safety enforcement case.

Day 4: Market Conduct, Pricing, and Competition Compliance

- Competition and market conduct rules for fuel markets.
- Pricing, tariff, and margin regulation obligations.
- Anti-competitive practices and abuse of dominance.
- Quality assurance, labelling, and consumer protection duties.
- Fuel smuggling, adulteration, and subsidy compliance.
- Anti-bribery and integrity in regulated operations.
- Simulation: investigating a market conduct or quality breach.

Day 5: Monitoring, Investigation, and Enforcement Action

- Compliance monitoring and inspection programme design.
- Testing, sampling, and evidence collection standards.
- Investigation procedure, interviews, and findings.
- Enforcement decision-making and proportionate sanctioning.
- Appeals, dispute resolution, and regulator interaction.
- Compliance reporting to oversight bodies and improvement.
- Capstone: presenting a downstream and midstream compliance enforcement plan.



Conclusion

By successfully completing Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries, participants will gain a practical command of the downstream and midstream regulatory architecture, governance structures and accountability, HSE, environmental and process safety enforcement, market conduct, pricing and competition compliance, and compliance monitoring, investigation and enforcement action. They will acquire skills in regulatory mapping, compliance monitoring, investigation, and enforcement reporting that strengthen compliance across regulated operations. Gentex Training Center designs the programme to help professionals govern and enforce compliance with confidence.

FAQs for the Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries course

Q1 What is the "Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries" course about?

It prepares professionals to govern and enforce compliance across refining, petrochemical, storage, transport, and distribution operations. The course spans the downstream and midstream regulatory architecture, governance structures and accountability, HSE, environmental and process safety enforcement, market conduct, pricing and competition compliance, and monitoring, investigation and enforcement action.



Q2 What are the key benefits of the "Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries" course?

You gain a practical command of downstream and midstream governance and compliance enforcement that links the licence an operator holds with the governance and enforcement discipline that sustains it. The course improves regulatory mapping and licence management, strengthens HSE and process safety enforcement practice, sharpens market conduct and pricing compliance, and gives you monitoring, investigation and sanctioning practice that holds up to appeal.

Q3 What skills will I gain from the "Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries" course?

You will develop four core skills: downstream and midstream regulatory architecture and licence obligation mapping, governance structure and compliance accountability design, HSE, environmental and process safety enforcement assessment, and compliance monitoring, investigation and enforcement action practice.



Q4 What tools, methods, or standards are covered in the "Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries" course?

The course covers sector structure across refining, petrochemicals, storage, transport and retail, regulatory bodies, mandates and jurisdictional boundaries, licensing, permits and operating authorisations, product specifications, standards and quality requirements, the regulatory framework for pipelines and terminals, governance structures for regulated energy operators, board and management accountability for compliance, the three lines model in regulated downstream operations, compliance policy, delegation and decision rights, governance of joint ventures and third-party operators, records, documentation and evidence retention, HSE and process safety regulatory obligations, asset integrity, major accident hazards and barrier management, emissions, effluent and environmental compliance duties, waste, spill and remediation obligations, incident reporting, investigation and regulator notification, enforcement tools of notices, penalties and licence conditions, competition and market conduct rules for fuel markets, pricing, tariff and margin regulation obligations, anti-competitive practices and abuse of dominance, quality assurance, labelling and consumer protection duties, fuel smuggling, adulteration and subsidy compliance, anti-bribery and integrity in regulated operations, compliance monitoring and inspection programme design, testing, sampling and evidence collection standards, investigation procedure, interviews and findings, enforcement decision-making and proportionate sanctioning, and appeals, dispute resolution and regulator interaction.



Q5 How is the "Corporate Governance, Regulations & Compliance Enforcement in Downstream & Midstream Industries" course applied in real-world practice?

Participants apply it to real work such as mapping governance and compliance accountabilities, reviewing compliance against a licence condition, designing a monitoring and inspection programme, investigating a market conduct or quality breach, assessing a process safety enforcement case, applying a proportionate sanction with justification, and presenting a compliance enforcement plan. The same methods support regulator reporting and compliance improvement across terminals and networks.