

CERTIFIED ISO 19600 LEAD COMPLIANCE MANAGER



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Introduction

Certified ISO 19600 Lead Compliance Manager Training Course prepares compliance leaders to design, implement, and lead a compliance management system based on the ISO 19600 framework and its successor, ISO 37301. Participants work through the compliance management system, governance and leadership, obligation identification, risk assessment, operational controls, performance evaluation, and continual improvement as one connected system. The programme links a standard's requirements with the management system that proves conformity. As a result, learners gain a practical command of compliance management. Furthermore, the course strengthens system design, audit practice, and continual improvement.

Certified ISO 19600 Lead Compliance Manager Course Objectives

- Explain the ISO 19600 framework, its structure, and its relationship to ISO 37301.
- Apply the plan-do-check-act (PDCA) cycle to compliance management.
- Establish compliance governance, policy, and leadership commitment.
- Identify compliance obligations and assess compliance risks.
- Design controls, procedures, and operational compliance processes.
- Apply competence, awareness, and training requirements in the standard.
- Implement monitoring, measurement, and performance evaluation.
- Apply internal audit and management review for the compliance system.
- Apply nonconformity, corrective action, and continual improvement.
- Lead compliance system implementation and certification readiness.

Course Methodology

- Interactive sessions built on compliance management system cases.

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- Workshops on obligation registers, risk assessment, and control design.
- Group exercises on gap analysis, internal audit, and corrective actions.
- Hands-on practice with compliance system documentation and audit checklists.
- Simulation of a certification audit and a management review meeting.
- Facilitator coaching and peer review on personal implementation roadmaps.

Who Should Take This Course

- Compliance managers, officers, and heads of compliance.
- Risk, governance, and internal control professionals.
- Management system implementers and quality professionals.
- Internal auditors covering compliance management systems.
- Legal advisors and regulatory affairs managers.
- Consultants advising on ISO compliance system implementation.

Certified ISO 19600 Lead Compliance Manager Course Outlines

Day 1: Compliance Management Systems and the ISO Framework

- What a compliance management system is and why it matters.
- The ISO 19600 framework and its successor ISO 37301.
- Annex SL high-level structure and common management system terms.
- The PDCA cycle applied to compliance management.
- Scope, context of the organization, and interested parties.



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- Terminology: compliance obligations, compliance risk, and controls.
- Case discussion: a compliance system that failed at implementation.

Day 2: Governance, Leadership, and Planning

- Leadership commitment and the compliance policy.
- Roles, responsibilities, and authorities in compliance.
- Governance structure and reporting lines including the governing body.
- Compliance culture and behavioural expectations.
- Identifying compliance obligations from laws, regulations, and standards.
- Compliance risk assessment methodology and evaluation criteria.
- Workshop: drafting a compliance policy and obligation register.

Day 3: Support, Operation, and Controls

- Resources, competence, and awareness requirements.
- Training, communication, and competence records.
- Documented information and control of records.
- Operational planning and control of compliance processes.
- Designing controls proportionate to compliance risk.
- Outsourcing, third-party, and supply chain compliance controls.
- Exercise: designing operational controls for a compliance risk.

Day 4: Performance Evaluation and Improvement

- Monitoring, measurement, and analysis requirements.
- Compliance reporting, indicators, and management information.
- Internal audit programme for the compliance system.



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- Management review inputs, outputs, and decisions.
- Nonconformity, corrective action, and root cause analysis.
- Continual improvement and system maturity.
- Simulation: conducting an internal audit and management review.

Day 5: Implementation Leadership and Certification

- Gap analysis against ISO 19600 and ISO 37301 requirements.
- Implementation roadmap, phasing, and resource planning.
- Building documentation and evidence for conformity.
- Certification audit preparation and audit conduct.
- Managing findings, observations, and corrective actions.
- Sustaining the system and integrating with other standards.
- Capstone: presenting a compliance management system implementation plan.

Conclusion

By successfully completing Certified ISO 19600 Lead Compliance Manager, participants will gain a practical command of the compliance management system framework, governance and leadership, obligation identification, risk assessment, operational controls, performance evaluation, and continual improvement. They will acquire skills in system design, gap analysis, internal audit, and certification readiness that embed compliance into how the organization works. Gentex Training Center designs the programme to help professionals lead compliance management with confidence.

FAQs





Q1 What is the "Certified ISO 19600 Lead Compliance Manager" course about?

It prepares compliance leaders to design, implement, and lead a compliance management system based on the ISO 19600 framework and its successor, ISO 37301. The course spans the compliance management system and ISO framework, governance, leadership and planning, support, operation and controls, performance evaluation and improvement, and implementation leadership and certification.

Q2 What are the key benefits of the "Certified ISO 19600 Lead Compliance Manager" course?

You gain a practical command of compliance management that connects a standard's requirements with a working system. The course improves governance and policy design, strengthens obligation and risk assessment, sharpens control design and internal audit practice, and gives you documentation and certification readiness that demonstrate conformity.

Q3 What skills will I gain from the "Certified ISO 19600 Lead Compliance Manager" course?

You will develop four core skills: compliance management system design using ISO 19600 and ISO 37301, governance and policy establishment with obligation identification and risk assessment, operational control design with performance monitoring, and internal audit with corrective action and certification readiness.



Q4 What tools, methods, or standards are covered in the "Certified ISO 19600 Lead Compliance Manager" course?

The course covers the ISO 19600 compliance management framework and its successor ISO 37301, the Annex SL high-level structure and common management system terminology, the plan-do-check-act (PDCA) cycle, scope and context of the organization and interested parties, compliance obligations and compliance risk and control terminology, leadership commitment and the compliance policy, roles, responsibilities and authorities, governance structures and governing body reporting, compliance culture, compliance obligation identification from laws, regulations and standards, compliance risk assessment methodology and evaluation criteria, resources, competence and awareness requirements, training and communication and competence records, documented information and control of records, operational planning and control, control design proportionate to risk, outsourcing and third-party and supply chain compliance controls, monitoring, measurement and analysis, compliance indicators and management information, internal audit programmes, management review inputs and outputs, nonconformity and corrective action and root cause analysis, continual improvement and maturity, gap analysis, implementation roadmaps and phasing, documentation and evidence for conformity, and certification audit preparation and conduct.

Q5 How is the "Certified ISO 19600 Lead Compliance Manager" course applied in real-world practice?

Participants apply it to real work such as drafting a compliance policy and obligation register, completing a compliance risk assessment, designing operational controls for a high risk, running an internal audit of the compliance system, conducting a management review, and preparing documentation and evidence for a certification audit. The same methods support gap analysis and implementation roadmaps.