

CAPITAL MARKETS: MARKET STRUCTURE, PRODUCTS, AND REGULATORY TRENDS



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TRAINING CENTER



Introduction

Capital Markets: Market Structure, Products, and Regulatory Trends Training Course builds a working command of how capital markets operate and how they are changing. Participants work through market structure and participants, primary and secondary markets, equity and debt instruments, derivatives, market infrastructure, and regulatory reform as one connected picture. The programme links the products traded with the rules and infrastructure that make trading safe. As a result, learners gain a practical view of market mechanics. Furthermore, the course strengthens instrument knowledge, market analysis, and regulatory awareness.

Capital Markets: Market Structure, Products, and Regulatory Trends Course Objectives

- Explain the roles of issuers, investors, intermediaries, and regulators.
- Distinguish primary and secondary markets and their functions.
- Describe exchange-traded and over-the-counter (OTC) market structures.
- Explain equity instruments: shares, IPOs, and secondary offerings.
- Explain debt instruments: bonds, sukuk, and money market securities.
- Explain derivatives: futures, options, swaps, and their uses.
- Apply market microstructure concepts: order types, liquidity, and spreads.
- Describe clearing, settlement, and central counterparty (CCP) arrangements.
- Apply trading surveillance, market abuse, and best execution rules.
- Analyse regulatory trends: MiFID II, Basel III, and ESG disclosure.

Course Methodology

- Interactive sessions built on capital market structure cases.

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- Workshops on market structure, product analysis, and regulation mapping.
- Group exercises on IPO processes, bond pricing basics, and derivatives uses.
- Hands-on practice with market data, order book examples, and regulation summaries.
- Simulation of a listing review and a market abuse investigation.
- Facilitator coaching and peer review on personal market analysis plans.

Who Should Take This Course

- Capital markets and investment banking professionals.
- Treasury, funding, and investor relations staff.
- Asset managers, brokers, and trading operations staff.
- Risk, compliance, and regulatory reporting professionals.
- Legal advisors and transaction documentation specialists.
- Consultants and analysts covering market structure and regulation.

Capital Markets: Market Structure, Products, and Regulatory Trends Course Outlines

Day 1: Market Structure and Participants

- The role of capital markets in funding the economy.
- Issuers, investors, intermediaries, and market infrastructure.
- Primary markets: IPOs, rights issues, and private placements.
- Secondary markets and price discovery.
- Exchange-traded versus over-the-counter markets.
- Market participants: brokers, dealers, and market makers.
- Case discussion: a market dislocation and its causes.





Day 2: Equity and Debt Instruments

- Equity instruments: ordinary shares, preference shares, and depositary receipts.
- Initial public offerings and secondary offerings.
- Share buybacks, dividends, and corporate actions.
- Fixed income: government, corporate, and supranational bonds.
- Sukuk structures and Islamic capital market instruments.
- Money market instruments: T-bills, commercial paper, and CDs.
- Workshop: analysing an IPO and a bond issue.

Day 3: Derivatives and Market Infrastructure

- Derivative types: futures, forwards, options, and swaps.
- Hedging, speculation, and arbitrage uses.
- Exchange-traded versus OTC derivatives.
- Clearing, settlement, and central counterparties (CCPs).
- Custody, collateral, and margin mechanics.
- Central securities depositories and trade repositories.
- Exercise: matching derivative uses to business needs.

Day 4: Market Conduct and Trading Rules

- Market microstructure: order types and execution venues.
- Liquidity, bid-ask spreads, and market depth.
- Best execution obligations and order handling.
- Market abuse: insider dealing and market manipulation.
- Surveillance systems and suspicious transaction reporting.

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- Short selling, disclosure, and transparency rules.
- Simulation: investigating a suspected market abuse case.

Day 5: Regulatory Trends and Reform

- MiFID II and investor protection requirements.
- Basel III, capital adequacy, and market risk rules.
- Prospectus and listing regulation changes.
- ESG disclosure, sustainability reporting, and green bonds.
- Digital assets, tokenisation, and market infrastructure innovation.
- Regulatory reform outlook and impact on market participants.
- Capstone: presenting a market structure and regulation review.

Conclusion

By successfully completing Capital Markets: Market Structure, Products, and Regulatory Trends, participants will gain a practical command of market structure, equity and debt instruments, derivatives, clearing and settlement, market conduct rules, and regulatory reform. They will acquire skills in market analysis, instrument evaluation, conduct compliance, and regulatory monitoring that support informed decisions. Gentex Training Center designs the programme to help professionals navigate capital markets with confidence.

FAQs





Q1 What is the "Capital Markets: Market Structure, Products, and Regulatory Trends" course about?

It builds a working command of how capital markets operate and how they are changing. The course spans market structure and participants, equity and debt instruments, derivatives and market infrastructure, market conduct and trading rules, and regulatory trends and reform.

Q2 What are the key benefits of the "Capital Markets: Market Structure, Products, and Regulatory Trends" course?

You gain a practical view of market mechanics that links products with the rules and infrastructure behind them. The course improves instrument evaluation and market analysis, strengthens conduct and best execution understanding, and sharpens regulatory monitoring across MiFID II, Basel III, and ESG disclosure.

Q3 What skills will I gain from the "Capital Markets: Market Structure, Products, and Regulatory Trends" course?

You will develop four core skills: capital market structure analysis across primary and secondary markets, equity and debt instrument evaluation including sukuk and money market securities, derivatives and clearing and settlement understanding, and market conduct and regulatory trend monitoring.



Q4 What tools, methods, or standards are covered in the "Capital Markets: Market Structure, Products, and Regulatory Trends" course?

The course covers primary and secondary market functions, exchange-traded and over-the-counter (OTC) market structures, IPOs, rights issues and private placements, price discovery and market making, equity instruments including ordinary and preference shares and depositary receipts, corporate actions and buybacks, fixed income instruments including government, corporate and supranational bonds, sukuk and Islamic capital market instruments, money market instruments including T-bills, commercial paper and certificates of deposit, futures, forwards, options and swaps, hedging and speculation and arbitrage uses, clearing and settlement and central counterparties (CCPs), custody and collateral and margin mechanics, central securities depositories and trade repositories, market microstructure and order types and execution venues, liquidity and bid-ask spreads and market depth, best execution and order handling, market abuse including insider dealing and market manipulation, surveillance systems and suspicious transaction reporting, short selling and disclosure and transparency rules, MiFID II investor protection, Basel III capital adequacy and market risk, prospectus and listing regulation, ESG disclosure and sustainability reporting and green bonds, and digital assets and tokenisation.

Q5 How is the "Capital Markets: Market Structure, Products, and Regulatory Trends" course applied in real-world practice?

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Participants apply it to real work such as assessing an IPO or bond issue, evaluating derivative use for a business need, reviewing best execution and order handling arrangements, investigating a suspected market abuse case, and monitoring MiFID II, Basel III, and ESG disclosure changes for an institution. The same methods support listing documentation and investor relations material.

