

ADVANCED FINANCIAL CRIME COMPLIANCE



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TRAINING CENTER



Introduction

Advanced Financial Crime Compliance Training Course prepares compliance professionals to detect, prevent, and report financial crime with confidence. Participants work through the risk-based approach, customer due diligence and enhanced due diligence, sanctions screening, transaction monitoring, suspicious activity reporting, bribery and corruption controls, and investigation practice as one connected framework. The programme links regulatory expectations with the operational controls that meet them. As a result, learners gain a practical command of financial crime compliance. Furthermore, the course strengthens risk assessment, investigative judgement, and reporting quality.

Advanced Financial Crime Compliance Course Objectives

- Explain the international framework for anti-money laundering (AML) and counter-terrorist financing (CTF).
- Apply the risk-based approach to compliance programme design.
- Conduct customer due diligence (CDD) and enhanced due diligence (EDD).
- Apply beneficial ownership identification and politically exposed person (PEP) screening.
- Apply sanctions screening: OFAC, UN, and EU sanctions lists.
- Design transaction monitoring scenarios, thresholds, and alerts.
- Investigate alerts and prepare suspicious activity reports (SARs) and STRs.
- Apply anti-bribery and corruption (ABC) controls: FCPA and UK Bribery Act.
- Apply fraud detection techniques and internal investigation practice.
- Apply compliance governance, training, testing, and audit readiness.

Course Methodology

- Interactive sessions built on financial crime compliance cases.

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- Workshops on risk assessment, CDD, and screening effectiveness.
- Group exercises on alert triage, SAR drafting, and control testing.
- Hands-on practice with risk assessment matrices, screening tools, and case files.
- Simulation of an alert investigation and a regulatory inspection.
- Facilitator coaching and peer review on personal compliance plans.

Who Should Take This Course

- AML and financial crime compliance officers.
- Compliance managers and analysts in banks and financial institutions.
- KYC and onboarding specialists.
- Sanctions and transaction monitoring analysts.
- Internal auditors covering AML and fraud controls.
- Consultants advising on financial crime compliance programmes.

Advanced Financial Crime Compliance Course Outlines

Day 1: The International AML/CTF Framework

- Money laundering stages: placement, layering, and integration.
- Terrorist financing methods and differences from money laundering.
- FATF recommendations and mutual evaluation process.
- EU AML directives and local regulatory requirements.
- Financial Action Task Force (FATF) high-risk and grey-list jurisdictions.
- Roles of regulators, financial intelligence units, and supervisors.
- Case discussion: a control gap that enabled laundering.





Day 2: Risk Assessment and Customer Due Diligence

- The risk-based approach and business-wide risk assessment.
- Customer risk rating models and risk factors.
- Customer due diligence (CDD) and enhanced due diligence (EDD).
- Beneficial ownership identification and complex ownership structures.
- Politically exposed person (PEP) and adverse media screening.
- Source of funds and source of wealth verification.
- Workshop: building a customer risk assessment.

Day 3: Sanctions Compliance and Screening

- Sanctions types: comprehensive, targeted, sectoral, and secondary.
- OFAC, UN, EU, and UK sanctions regimes.
- Name screening, false positives, and list management.
- Fuzzy matching, thresholds, and screening tuning.
- Payment screening and trade-based sanctions evasion.
- Sanctions governance, escalation, and reporting.
- Exercise: investigating a screening hit and documenting the decision.

Day 4: Transaction Monitoring, Investigations, and Reporting

- Transaction monitoring rule design and scenarios.
- Threshold setting, tuning, and alert triage.
- Red flags for structuring, layering, and trade-based laundering.
- Investigation methodology and evidence handling.

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- Suspicious activity reports (SARs) and suspicious transaction reports (STRs).
- Tip-off rules, confidentiality, and record retention.
- Simulation: investigating an alert and drafting a SAR.

Day 5: Bribery, Corruption, Fraud, and Governance

- Bribery and corruption risk and the FCPA and UK Bribery Act.
- Gifts, hospitality, intermediaries, and third-party due diligence.
- Fraud detection techniques and internal investigation practice.
- Whistleblowing channels and investigation governance.
- Compliance programme elements: training, testing, and monitoring.
- Regulatory inspections, enforcement, and audit readiness.
- Capstone: presenting a financial crime compliance programme.

Conclusion

By successfully completing Advanced Financial Crime Compliance, participants will gain a practical command of the AML/CTF framework, risk assessment, customer due diligence, sanctions screening, transaction monitoring, suspicious activity reporting, and bribery and fraud controls. They will acquire skills in risk rating, alert investigation, SAR drafting, and programme governance that support sound compliance decisions. Gentex Training Center designs the programme to help professionals strengthen financial crime defences.

FAQs





Q1 What is the "Advanced Financial Crime Compliance" course about?

It prepares compliance professionals to detect, prevent, and report financial crime with confidence. The course spans the international AML/CTF framework, risk assessment and customer due diligence, sanctions compliance and screening, transaction monitoring, investigations and reporting, and bribery, corruption, fraud and governance.

Q2 What are the key benefits of the "Advanced Financial Crime Compliance" course?

You gain a practical command of financial crime compliance that connects regulatory expectations with operational controls. The course improves risk assessment and due diligence quality, strengthens screening and monitoring effectiveness, sharpens investigative and reporting judgement, and gives you programme governance that withstands inspection.

Q3 What skills will I gain from the "Advanced Financial Crime Compliance" course?

You will develop four core skills: applying the AML/CTF framework with risk-based assessment, customer and enhanced due diligence with beneficial ownership and PEP screening, sanctions screening and transaction monitoring, and alert investigation with suspicious activity reporting.



Q4 What tools, methods, or standards are covered in the "Advanced Financial Crime Compliance" course?

The course covers the FATF recommendations and mutual evaluation process, EU AML directives, FATF high-risk and grey-list jurisdictions, financial intelligence unit and supervisor roles, the risk-based approach and business-wide risk assessment, customer risk rating models and risk factors, customer due diligence (CDD) and enhanced due diligence (EDD), beneficial ownership identification, politically exposed person (PEP) and adverse media screening, source of funds and source of wealth verification, comprehensive, targeted, sectoral and secondary sanctions, OFAC, UN, EU and UK sanctions regimes, name screening and false positive management and list management, fuzzy matching and thresholds and screening tuning, payment screening and trade-based sanctions evasion, transaction monitoring rule design and scenarios, threshold setting and tuning and alert triage, red flags for structuring, layering and trade-based laundering, investigation methodology and evidence handling, suspicious activity reports (SARs) and suspicious transaction reports (STRs), tip-off rules and confidentiality and record retention, bribery and corruption risk with the FCPA and UK Bribery Act, gifts, hospitality, intermediaries and third-party due diligence, fraud detection techniques, whistleblowing channels and investigation governance, and compliance programme training, testing and monitoring.

Q5 How is the "Advanced Financial Crime Compliance" course applied in real-world practice?

Participants apply it to real work such as completing a business-wide risk assessment, applying enhanced due diligence to a high-risk customer, investigating a screening hit and documenting the decision, triaging transaction monitoring alerts, drafting a suspicious activity report, and preparing a compliance programme for regulatory inspection. The same methods support third-party due diligence and internal investigation practice.